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[7] Publication Fee (No small entity discount permitted) [8] Advance Order - # of Copies 10 [8] The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) [9] Ja. Applicant claims SMALL ENTITY status. See 37 CFR 1.2.7. [9] Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered agent or; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. [8] Payment by credit card. Form PTO-2038 is attached. [8] The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form). [9] La Applicant claims SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. [9] NOTE: The issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered agent or; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. [9] Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director is hereby authorized to charge the total charge the open of the USPTO Time and Trademark of the assignment of the USPTO to process) an application. Confidentiality is governed by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the complete dapplication form to the USPTO. Time		•) is enclosed	•	
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The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if rany) or to re-apply any previously paid issue fee to the application identified above. NOTE: The issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered agent or, or the assignee or other party in interest as shown by the records of the United States Patent and Tracemark Office. (Authorized Signature) Typed or Printed Name John F. Hayden, Registration No. 37,640 Date: February 10, 2005 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.			<u> </u>				1 27(e)(2)
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Typed or Printed Name John F. Hayden, Registration No. 37,640 Date: February 10, 2005 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450.	NOTE: The issue Fee and Publication Fee (if requishown by the records of the Untied States Patent	red) will not be accept d Trademark Office.	ed from anyone	other than the applicant, a re	egistered agent or; or the as	signee or oti	ner party in interest as
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.	This collection of information is required by 37 CF an application. Confidentiality is governed by 35 U submitting the completed application form to the U form and/or suggestions for reducing this burden, s 1450, Alexandria, Virginia 22313-1450. DO NOT S Alexandria, Virginia 22313-1450.	R 1.311. The informati J.S.C. 122 and 37 CFR SPTO. Time will vary nould be sent to the Ch SEND FEES OR COM	1.14. This colled depending upon itel Information IPLETED FORM	o obtain or retain a benefit by ction is estimated to take 12 the individual case. Any co Officer, U.S. Patent and Tra AS TO THIS ADDRESS. SI	minutes to complete, inclumination on the amount of the demark Office, U.S. Deparement TO: Commissioner for	ime you required training to the training training to the training training training to the training t	ng, preparing, and uire to complete this mmerce, P.O. Box O. Box 1450,

Attorney's Docket No.: 07977-008004 / US2938D1D2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al.

Art Unit : 2673

Serial No.: 09/504,235

Examiner: David L. Lewis

Filed -

February 15, 2000

Confirmation No.: 7610

METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SECOND REQUEST FOR INITIALED PTO FORM 1449

Further to a request filed on December 16, 2004 and upon reviewing the file, applicants noted that they have not received initialed copies of the enclosed PTO Forms 1449 and 892 (4 total pages) that accompanied the information disclosure statements filed:

January 11, 2001;

August 27, 2002 (also Request for Initialed PTO-1440 Form filed December 2, 2002);

December 18, 2002; and

February 19, 2003 (also Transmittal of English Translation filed April 7, 2003).

Applicants' records show that these information disclosure statements complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return these forms as soon as possible. Note, applicants are paying the issue fee concurrently herewith, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: February 10, 2005

John F. Hayden

Reg. No. 37,640

Customer No. 26171 Fish & Richardson P.C. 1425 K Street, N.W., 11th Floor Washington, DC 20005-3500 (202) 783-5070 telephone (202) 783-2331 facsimile

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Application No. O9/013,960 Yamazaki et al.

Examiner Group Art Unit 2822 Page 1 of 1

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 07977-008004

Application No. 09/504,235

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Yamazaki, et al.

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Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant.

Substitute Disclosure Form (PTO-1449)



Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 07977-008004

Application No. 09/504,235

Information Disclosure Statement by Applicant

Applicant Yamazaki, et al.

(Use several sheets if necessary)

Filing Date February 15, 2000 **Group Art Unit** 2673

(37 CFR §1.98(b))

Examiner	Desig.	Patent		Patentee	Class	Subclass	Filing Date If Appropriate
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	AA	5 569 610	Oct. 29, 1996	Zhang et al.	-		
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Substitute Disclosure Form (PTO-1449)



Substitute Form PTO-1449 (Modified)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 07977-008004-US2938D1D2 Application No. 09/504,235

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Yamazaki, et al.

Applicant

Group Art Unit 2673

Filing Date February 15, 2000

U.S. Patent Documents Filing Date Publication Document Desig. Examiner if Appropriate Subclass Class Patentee Date ID Number Initial 8/23/1988 Nakagawa, et al. 4,766,477 AA Yamazaki, et al. 5/17/1994 AB 5,313,076 5/24/1994 S. Yamazaki AC 5,315,132 S. Yamazaki 5,543,636 8/6/1996 AD Yamazaki, et al. 1/12/1999 5,858,823 ΑE Yamazaki, et al. 3/23/1999 5,886,366 **AF** Yamazaki, et al. 7/13/1999 5,923,968 AG Yamazaki, et al. 10/5/1999 5,962,869 AH Yamazaki, et al. 1/2/2001 6,169,292 B1 AI S. Yamazaki 6,221,701 B1 4/24/2001 ΑJ Kusumoto, et al. 7/24/2001 AK. 6,265,745 B1

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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with

FROM: EXAMINER DAVID L LEWIS

PHONE 703 306-3026

TO: SCOTT HARRIS

FAX 858-678-5099

PHONE 858 678-5070

RE: 09/504235 IDS

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Attorney's Docket No. 07977-008004-US2938D1D2

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Information Disclosure Statement by Applicant (Use several sheets if necessary)

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Applicant Yamazaki, et al.

February 15, 2000

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Application No. 09/504,235

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Yamazaki, et al.

(37 CFR §1.98(b))

Filing Date February 15, 2000 **Group Art Unit** 2673

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